



# Sandie Arnott

TREASURER - TAX COLLECTOR

## APPROVED BROKER LIST

01/23/2025

---

### CASTLEOAKS SECURITIES, LP

111 SW 5<sup>th</sup> Avenue #1835  
Portland, OR 97204  
(646) 521-6715 – Direct  
(310) 279-3582 – Cell  
Attn: [Sschiefelbein@castleoaklp.com](mailto:Sschiefelbein@castleoaklp.com)

### GREAT PACIFIC SECURITIES

151 Kalmus Drive Suite H8  
Costa Mesa, CA 92626  
(800)284-4804  
Trade Desk Phone: (714)619-3012  
ATTN: Chris Vinck-Luna  
[cvinck@greatpac.com](mailto:cvinck@greatpac.com)  
Alex Tatman-Mendoza  
[atatman@greatpac.com](mailto:atatman@greatpac.com)

### INSPEREX, LLC

220 Montgomery St. #1060  
San Francisco, CA 94104  
(415)706-5415 (415)671-6460  
[Mike Avery mavery@insperex.com](mailto:Mike.Avery@mavery@insperex.com)

### PIPER SANDLER & CO.

444 S. Flower St., Suite 1675  
Los Angeles, CA 90071  
(213) 572-3607/312-7789  
Fixed income support: (612) 303-6727  
Fax: (612) 313-3006  
Attn: [Lisa.s.pentz@psc.com](mailto:Lisa.s.pentz@psc.com)

### RBC CAPITAL MARKETS LLC

1331 N California Blvd. Ste. 650  
Walnut Creek, CA 94596  
ATTN: [Eric.ajlouny@rbc.com](mailto:Eric.ajlouny@rbc.com) (415) 445-6351  
[Mark.solis@rbc.com](mailto:Mark.solis@rbc.com) (415) 445-8422

### TD SECURITIES (USA) LLC.

31 West 52<sup>nd</sup> St., New York, NY 10019-6101  
Attn: [Michael.Kahn@tdsecurities.com](mailto:Michael.Kahn@tdsecurities.com)  
(212)827-7169  
[Chanel.Soto@tdsecurities.com](mailto:Chanel.Soto@tdsecurities.com)  
(212) 827-7312/Cell: (646) 515-7754  
Ops & Allocation: [TDSI-Allocations@tdsecurities.com](mailto:TDSI-Allocations@tdsecurities.com)

### UBS Securities

515 S. Flower Street  
Los Angeles, CA 90071  
(213) 972-1431 (800)762-1000  
Attn: Chris Pocino [chris.pocino@ubs.com](mailto:chris.pocino@ubs.com)  
(203) 719-3872  
Justin Frame, Steve Genyk

### WELLS FARGO SECURITIES, LLC

333 S. Grand Avenue  
Los Angeles, CA 90071  
(310) 426-3987  
[Jonathan.Chuang@wellsfargo.com](mailto:Jonathan.Chuang@wellsfargo.com)  
[Leah.D.Nakamura@wellsfargo.com](mailto:Leah.D.Nakamura@wellsfargo.com) (213) 253-6205